



PECB



PECB CERTIFIED ISO 39001 LEAD AUDITOR

MASTERING THE AUDIT OF A ROAD TRAFFIC SAFETY MANAGEMENT SYSTEM (RTSMS) BASED ON ISO 39001, IN COMPLIANCE WITH THE REQUIREMENTS OF ISO 19011 AND ISO 17021

SUMMARY

This five-day intensive course enables the participants to develop the necessary expertise to audit a Road Traffic Safety Management System (RTSMS) as specified in ISO 39001 and to manage a team of auditors by applying widely recognized audit principles, procedures and techniques. During this training, the participant will acquire the necessary knowledge and skills to proficiently plan and perform internal and external audits in compliance with ISO 19011 and certification audits according to ISO 17021. Based on practical exercises, the participant will develop the skills (mastering audit techniques) and competencies (managing audit teams and audit program, communicating with customers, conflict resolution, etc.) necessary to efficiently conduct an audit.



WHO SHOULD ATTEND?

- ▶ Internal auditors
- ▶ Auditors wanting to perform and lead a Road Traffic Safety Management System (RTSMS) certification audits
- ▶ Project managers or consultants wanting to master the Road Traffic Safety Management System audit process
- ▶ CxO and Senior Managers responsible for the Road Traffic governance of an enterprise and the management of its risks
- ▶ Members of a Road Traffic Safety team
- ▶ Expert advisors in Road Traffic Safety
- ▶ Technical experts wanting to prepare for a Road Traffic Safety audit function

COURSE AGENDA

DURATION: 5 DAYS

DAY 1

Introduction to Road Traffic Safety Management System (RTSMS) concepts as required by ISO 39001

- ▶ Normative, regulatory and legal framework related to Road Traffic Safety
- ▶ Fundamental principles of Road Traffic Safety
- ▶ ISO 39001 certification process
- ▶ Road Traffic Safety Management System (RTSMS)
- ▶ Detailed presentation of the clauses of ISO 39001

DAY 2

Planning and initiating an ISO 39001 audit

- ▶ Fundamental audit concepts and principles
- ▶ Audit approach based on evidence and on risk
- ▶ Preparation of an ISO 39001 certification audit
- ▶ RTSMS documentation audit
- ▶ Conducting an opening meeting

DAY 3

Conducting an ISO 39001 audit

- ▶ Communication during the audit
- ▶ Audit procedures: observation, document review, interview, sampling techniques, technical verification, corroboration and evaluation
- ▶ Audit test plans
- ▶ Formulation of audit findings
- ▶ Documenting nonconformities

DAY 4

Concluding and ensuring the follow-up of an ISO 39001 audit

- ▶ Audit documentation
- ▶ Quality review
- ▶ Conducting a closing meeting and conclusion of an ISO 39001 audit
- ▶ Evaluation of corrective action plans
- ▶ ISO 39001 surveillance audit
- ▶ ISO 39001 internal audit management program

DAY 5

Certification Exam

LEARNING OBJECTIVES

- ▶ To acquire expertise to perform an ISO 39001 internal audit following ISO 19011 guidelines
- ▶ To acquire expertise to perform an ISO 39001 certification audit following ISO 19011 guidelines
- ▶ To acquire necessary expertise to manage an RTSMS audit team
- ▶ To understand the operation of an ISO 39001 conformant Road Traffic Safety Management System
- ▶ To understand the relationship between a Road Traffic Safety Management System, including risk management, RTS performance factors and compliance with the requirements of different stakeholders of the organization
- ▶ To improve the ability to analyze the internal and external environment of an organization, its risk assessment and audit decision-making

EXAMINATION

The “PECB Certified ISO 9001 Lead Auditor” exam fully meets the requirements of the PECB Examination and Certification Program (ECP). The exam covers the following competence domains:

1 Domain 1: Fundamental principles and concepts in Road Traffic Safety Management

Main Objective: To ensure that the ISO 39001 Lead Auditor candidate can understand, interpret and illustrate the main Road Traffic Safety Management concepts related to a Road Traffic Safety Management System (RTSMS)

2 Domain 2: Road Traffic Safety Management System (RTSMS)

Main Objective: To ensure that the ISO 39001 Lead Auditor candidate can understand, interpret and illustrate the main concepts and components of a Road Traffic Safety Management System based on ISO 39001

3 Domain 3: Fundamental Audit Concepts and Principles

Main Objective: To ensure that the ISO 39001 Lead Auditor candidate can understand, interpret and apply the main concepts and principles related to an RTSMS audit in the context of ISO 39001

4 Domain 4: Preparation of an ISO 39001 audit

Main Objective: To ensure that the ISO 39001 Lead Auditor candidate can prepare appropriately an RTSMS audit in the context of ISO 39001

5 Domain 5: Conduct of an ISO 39001 audit

Main Objective: To ensure that the ISO 39001 Lead Auditor candidate can conduct efficiently an RTSMS audit in the context of ISO 39001

6 Domain 6: Conclusion and follow-up of an ISO 39001 audit

Main Objective: To ensure that the ISO 39001 Lead Auditor candidate can conclude an RTSMS audit and conduct follow-up activities in the context of ISO 39001

7 Domain 7: Management of an ISO 39001 audit program

Main Objective: To ensure that the ISO 39001 Lead Auditor understands how to establish and manage an RTSMS audit program

- ▶ The “PECB Certified ISO 39001 Lead Auditor” exam is available in different languages, such as English, French, Spanish and Portuguese
- ▶ Duration: 3 hours
- ▶ For more information about the exam, please visit: www.pecb.com



CERTIFICATION

- ▶ After successfully completing the exam, participants can apply for the credentials of PECB Certified ISO 39001 Provisional Auditor, PECB Certified ISO 39001 Auditor or PECB Certified ISO 39001 Lead Auditor depending on their level of experience. Those credentials are available for internal and external auditors
- ▶ A certificate will be issued to participants who successfully pass the exam:

Credential	Exam	Professional Experience	RTSMS Audit Experience	RTSMS Project Experience	Other Requirements
PECB ISO 39001 Provisional Auditor	PECB ISO 39001 Lead Auditor Exam	None	None	None	Signing the PECB code of ethics
PECB ISO 39001 Auditor	PECB ISO 39001 Lead Auditor Exam	Two years One year of Road Traffic Safety work experience	Audit activities totaling 200 hours	None	Signing the PECB code of ethics
PECB ISO 39001 Lead Auditor	PECB ISO 39001 Lead Auditor Exam	Five years Two years of Road Traffic Safety work experience	Audit activities totaling 300 hours	None	Signing the PECB code of ethics

GENERAL INFORMATION

- ▶ Certification fees are included in the exam price
- ▶ Participant manual contains over 450 pages of information and practical examples
- ▶ A participation certificate of 31 CPD (Continuing Professional Development) credits will be issued to the participants
- ▶ In case of failure of the exam, participants are allowed to retake it for free under certain conditions