



# PECB



## PECB CERTIFIED ISO 28000 LEAD AUDITOR

**MASTERING THE AUDIT OF A SUPPLY CHAIN SECURITY MANAGEMENT SYSTEM (SCSMS) BASED ON ISO 28000, IN COMPLIANCE WITH THE REQUIREMENTS OF ISO 19011 AND ISO 17021**

### SUMMARY

This five-day intensive course enables the participants to develop the needed expertise to audit a Supply Chain Security Management System (SCSMS) and to manage a team of auditors by applying widely recognized audit principles, procedures and techniques. During this training, the participants will acquire the needed knowledge and skills to proficiently plan and perform internal and external audits in compliance with ISO 19011 and certification audits according to ISO 17021. Based on practical exercises, the participants will develop the skills (mastering audit techniques) and competencies (managing audit teams and audit program, communicating with customers, conflict resolution, etc.) necessary to efficiently conduct an audit.



## WHO SHOULD ATTEND?

- ▶ Internal auditors
- ▶ Auditors wanting to perform and lead SCSMS certification audits
- ▶ Project managers or consultants wanting to master the SCSMS audit process
- ▶ Individuals responsible for the Supply Chain Security or conformity in an organization
- ▶ Technical experts wanting to prepare for a Supply Chain Security audit function

## COURSE AGENDA

**DURATION: 5 DAYS**

DAY 1	<b>Introduction to Supply Chain Security Management System (SCSMS) concepts as required by ISO 28000</b> <ul style="list-style-type: none"> <li>▶ Presentation of the standards ISO 28000, ISO 28001, ISO 28004 and regulatory and legal framework related to Supply Chain Security</li> <li>▶ Fundamental principles of Supply Chain Security and physical security</li> <li>▶ ISO 28000 certification process</li> <li>▶ Supply Chain Security Management System (SCSMS)</li> <li>▶ Detailed presentation of the clauses of ISO 28000</li> </ul>
DAY 2	<b>Planning and initiating an ISO 28000 audit</b> <ul style="list-style-type: none"> <li>▶ Fundamental audit concepts and principles</li> <li>▶ Audit approach based on evidence and on risk</li> <li>▶ Preparation of an ISO 28000 certification audit</li> <li>▶ SCSMS documentation audit</li> </ul>
DAY 3	<b>Conducting an ISO 28000 audit</b> <ul style="list-style-type: none"> <li>▶ Communication during the audit</li> <li>▶ Audit procedures: observation, document review, interview, sampling techniques, technical verification, corroboration and evaluation</li> <li>▶ Audit test plans</li> <li>▶ Formulation of audit findings and documenting nonconformities</li> </ul>
DAY 4	<b>Concluding and ensuring the follow-up of an ISO 28000 audit</b> <ul style="list-style-type: none"> <li>▶ Audit documentation</li> <li>▶ Conducting a closing meeting and conclusion of an ISO 28000 audit</li> <li>▶ Evaluation of corrective action plans</li> <li>▶ ISO 28000 surveillance and internal audit management program</li> </ul>
DAY 5	<b>Certification Exam</b>





## LEARNING OBJECTIVES

- ▶ To acquire the expertise to perform an ISO 28000 internal audit following ISO 19011 guidelines
- ▶ To acquire the expertise to perform an ISO 28000 certification audit following ISO 19011 guidelines and ISO 17021, ISO 28003 specifications
- ▶ To acquire the expertise necessary to manage an SCSMS audit team
- ▶ To understand the operation of an ISO 28000 conformant SCSMS

## EXAMINATION

The “PECB Certified ISO 28000 Lead Auditor” exam fully meets the requirements of the PECB Examination and Certification Program (ECP). The exam covers the following competence domains:

### 1 Domain 1: Fundamental principles and concepts in Supply Chain Security Management

Main Objective: To ensure that the ISO 28000 Lead Auditor candidate can understand, interpret and illustrate the main Supply Chain Security Management concepts related to a Supply Chain Security Management System (SCSMS)

### 2 Domain 2: Supply Chain Management System (SCSMS)

Main Objective: To ensure that the ISO 28000 Lead Auditor candidate can understand, interpret and illustrate the main concepts and components of a Supply Chain Security Management System based on ISO 28000

### 3 Domain 3: Fundamental Audit Concepts and Principles

Main Objective: To ensure that the ISO 28000 Lead Auditor candidate can understand, interpret and apply the main concepts and principles related to an SCSMS audit in the context of ISO 28000

### 4 Domain 4: Preparation of an ISO 28000 audit

Main Objective: To ensure that the ISO 28000 Lead Auditor candidate can prepare appropriately an SCSMS audit in the context of ISO 28000

### 5 Domain 5: Conduct of an ISO 28000 audit

Main Objective: To ensure that the ISO 28000 Lead Auditor candidate can conduct efficiently an SCSMS audit in the context of ISO 28000

### 6 Domain 6: Conclusion and follow-up of an ISO 28000 audit

Main Objective: To ensure that the ISO 28000 Lead Auditor candidate can conclude an SCSMS audit and conduct follow-up activities in the context of ISO 28000

### 7 Domain 7: Management of an ISO 28000 audit program

Main Objective: To ensure that the ISO 28000 Lead Auditor understands how to establish and manage an SCSMS audit program

- ▶ The “PECB Certified ISO 28000 Lead Auditor” exam is available in different languages, such as English, French, Spanish and Portuguese
- ▶ Duration: 3 hours
- ▶ For more information about the exam, please visit: [www.pecb.com](http://www.pecb.com)



## CERTIFICATION

- ▶ After successfully completing the exam, participants can apply for the credentials of PECB Certified ISO 28000 Provisional Auditor, PECB Certified ISO 28000 Auditor or PECB Certified ISO 28000 Lead Auditor depending on their level of experience. Those credentials are available for internal and external auditors
- ▶ A certificate will be issued to participants who successfully pass the exam and comply with all the other requirements related to the selected credential:

Credential	Exam	Professional Experience	SCSMS Audit Experience	SCSMS Project Experience	Other Requirements
<b>PECB ISO 28000 Provisional Auditor</b>	PECB ISO 28000 Lead Auditor Exam	None	None	None	Signing the PECB code of ethics
<b>PECB ISO 28000 Auditor</b>	PECB ISO 28000 Lead Auditor Exam	<b>Two years</b> One year of Supply Chain Security work experience	Audit activities totaling 200 hours	None	Signing the PECB code of ethics
<b>PECB ISO 28000 Lead Auditor</b>	PECB ISO 28000 Lead Auditor Exam	<b>Five years</b> Two years of Supply Chain Security work experience	Audit activities totaling 300 hours	None	Signing the PECB code of ethics

## GENERAL INFORMATION

- ▶ Certification fees are included in the exam price
- ▶ Participant manual contains over 450 pages of information and practical examples
- ▶ A participation certificate of 31 CPD (Continuing Professional Development) credits will be issued to the participants
- ▶ In case of failure of the exam, participants are allowed to retake it for free under certain conditions